ANNUAL SYNAR REPORT

42 U.S.C. 300x-26 OMB № 0930-0222

FFY 2018 State: GUAM

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OMB No. 0930-0222

Expiration Date: 06/30/2019

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INTRODUCTION

The Annual Synar Report (ASR) format provides the means for states to comply with the reporting provisions of the Public Health Service Act (42 U.S.C. 300x-26) and the Tobacco Regulation for the Substance Abuse Prevention and Treatment Block Grant (SABG) (45 C.F.R. 96.130 (e)).

How the Synar report helps the Center for Substance Abuse Prevention

In accordance with the tobacco regulations, states are required to provide detailed information on progress made in enforcing youth tobacco access laws (FFY 2017 Compliance Progress) and future plans to ensure compliance with the Synar requirements to reduce youth tobacco access rates (FFY 2018 Intended Use Plan). These data are required by 42 U.S.C. 300x-26 and will be used by the Secretary to evaluate state compliance with the statute. Part of the mission of the Center for Substance Abuse Prevention (CSAP) is to assist states¹ by supporting Synar activities and providing technical assistance helpful in determining the type of enforcement measures and control strategies that are most effective. This information is helpful to CSAP in improving technical assistance resources and expertise on enforcement efforts and tobacco control program support activities, including state Synar program support services, through an enhanced technical assistance program involving conferences and workshops, development of training materials and guidance documents, and onsite technical assistance consultation.

How the Synar report can help states

The information gathered for the Synar report can help states describe and analyze substate needs for program enhancements. These data can also be used to report to the state legislature and other state and local organizations on progress made to date in enforcing youth tobacco access laws when aggregated statistical data from state Synar reports can demonstrate to the Secretary the national progress in reducing youth tobacco access problems. This information will also provide Congress with a better understanding of state progress in implementing Synar, including state difficulties and successes in enforcing retailer compliance with youth tobacco access laws.

¹The term "state" is used to refer to all the states and territories required to comply with Synar as part of the Substance Abuse Prevention and Treatment Block Grant Program requirements (42 U.S.C. 300x-64 and 45 C.F.R. 96.121).

Getting assistance in completing the Synar report

If you have questions about programmatic issues, you may call CSAP's Division of State Programs at (240) 276-2550 and ask for your respective State Project Officer, or contact your State Project Officer directly by telephone or email. If you have questions about fiscal or grants management issues, you may call the Grants Management Officer, Office of Financial Resources, Division of Grants Management, at (240) 276-1422.

Where and when to submit the Synar report

The ASR must be received by SAMHSA no later than December 31, 2017 and must be submitted in the format specified by these instructions. Use of the approved format will avoid delays in the review and approval process. The chief executive officer (or an authorized designee) of the applicant organization must sign page one of the ASR certifying that the state has complied with all reporting requirements.

The state must upload one copy of the ASR using the online WebBGAS (Block Grant Application System). In addition, the following items must be uploaded to WebBGAS:

- FFY 2018 Synar Survey Results: States that use the Synar Survey Estimation System (SSES) must upload one copy of SSES Tables 1–5 (in Excel) to WebBGAS. States that do not use SSES must upload one copy of ASR Forms 1, 4, and 5, and Forms 2 and 3, if applicable, (in Excel), as well as a database with the raw inspection data to WebBGAS.
- Synar Inspection Form: States must upload one blank copy of the inspection form used to record the result of each Synar inspection.
- Synar Inspection Protocol: States must upload a copy of the protocol used to train inspection teams on conducting and reporting the results of the Synar inspections.
 This document should be different than the Appendix C attached to the Annual Synar Report
- A scanned copy of the signed Funding Agreements/Certifications

Each state SSA Director has been emailed a login ID and password to log onto the Synar section of the WebBGAS site.

FFY 2018: FUNDING AGREEMENTS/CERTIFICATIONS

The following form must be signed by the Chief Executive Officer or an authorized designee and submitted with this application. Documentation authorizing a designee must be attached to the application.

PUBLIC HEALTH SERVICES ACT AND SYNAR AMENDMENT

42 U.S.C. 300x-26 requires each state to submit an annual report of its progress in meeting the requirements of the Synar Amendment and its implementing regulation (45 C.F.R. 96.130) to the Secretary of the Department of Health and Human Services. By signing below, the chief executive officer (or an authorized designee) of the applicant organization certifies that the state has complied with these reporting requirements and the certifications as set forth below.

SYNAR SURVEY SAMPLING METHODOLOGY

The state certifies that the Synar survey sampling methodology on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2018 is up-to-date and approved by the Center for Substance Abuse Prevention.

SYNAR SURVEY INSPECTION PROTOGOL

The state certifies that the Synar Survey Inspection Protocol on file with the Center for Substance Abuse Prevention and submitted with the Annual Synar Report for FFY 2018 is up-to-date and approved by the Center for Substance Abuse Prevention.

State: Guam

Name of Chief Executive Officer or Designee: Rey M. Vega

Signature of CEO or Designee:

Title: Director

Date Signed: JUL 3 1 2018

If signed by a designee, a copy of the designation must be attached.

FFY: 2018	State:	Guam	

SECTION I: FFY 2017 (Compliance Progress)

YOUTH ACCESS LAWS, ACTIVITIES, AND ENFORCEMENT

42 U.S.C. 300x-26 requires the states to report information regarding the sale/distribution of tobacco products to individuals under age 18.

	•	
1.	access s the last	ndicate any changes or additions to the state tobacco statute(s) relating to youth ince the last reporting year. If any changes were made to the state law(s) since reporting year, please attach a photocopy of the law to the hard copy of the d also upload a copy of the state law to WebBGAS. (see 42 U.S.C. 300x-26).
	a.	Has there been a change in the minimum sale age for tobacco products?
		☐ Yes ⊠ No
		If Yes, current minimum age: 19 20 21
	b.	Have there been any changes in state law that impact the state's protocol for conducting <i>Synar inspections?</i>
		☐ Yes ⊠ No
		If Yes, indicate change. (Check all that apply.) Changed to require that law enforcement conduct inspections of tobacco outlets Changed to make it illegal for youth to possess, purchase or receive tobacco Changed to require ID to purchase tobacco Changed definition of tobacco products Other change(s) (Please describe.)
	c.	Have there been any changes in state law that impact the following?
		Licensing of tobacco vendors Yes No
		Penalties for sales to minors Yes No
		Vending machines
		categories to youth access law Yes No
2.		e how the Annual Synar Report (see 45 C.F.R. 96.130(e)) was made public he state prior to submission of the ASR. (Check all that apply.)
		Placed on file for public review
		Posted on a state agency Web site (Please provide exact Web address and the date en the FFY 2018 ASR was posted to this Web address.) www.gbhwc.guam.gov
	_	Web address: Date published: 07/27/2018
		Notice published in a newspaper or newsletter
		Public hearing

		Announced in a news release, a press conference, or discussed in a media interview
		Distributed for review as part of the SABG application process
		Distributed through the public library system
		Published in an annual register
	th <u>m</u> of	Other (Please describe.) Discussion about Guam's Synar activities are included at the Non-Communicable Disease Consortium Tobacco Control Action Team monthly setting. The team were responsible for Guam's Tobacco 21 (The Youth Protection Act 2017; was brought to the Guam Legislature for public hearing in February 22, 1017; now became a public law effective January 1, 2018.
3.	Identify	the following agency or agencies (see 42 U.S.C. 300x-26 and 45 C.F.R. 96.130).
	a.	The state agency(ies) designated by the Governor for oversight of the Synar requirements:
		GUAM BEHAVIORAL HEATLH AND WELLNESS CENTER (GBHWC)
		Has this changed since last year's Annual Synar Report?
		☐ Yes ⊠ No
	b.	The state agency(ies) responsible for conducting random, unannounced Synar inspections:
		Guam Behavioral Health and Wellness Center and the Guam Department of Revenue and Taxation. Both government agencies are responsible for jointly conducting random, unannounced Synar compliance inspections. Both agencies are responsible for this function according to the Guam Public Law 24-278, also known as the Guam Tobacco Control Act of 1998.
		Has this changed since last year's Annual Synar Report?
		☐ Yes ⊠ No
	c.	The state agency(ies) responsible for enforcing youth tobacco access law(s):
		GUAM DEPARTMENT OF REVENUE AND TAXATION
		Has this changed since last year's Annual Synar Report?
		☐ Yes ☐ No
4.	-	the following agencies and describe their relationship with the agency ible for the oversight of the Synar requirements.
	a.	Identify the state agency responsible for tobacco prevention activities (the agency that receives the Centers for Disease Control and Prevention's National Tobacco Control Program funding). <u>Guam Department of Public Health and Social Services (DPHSS) and Guam Behavioral Health and Wellness Center (GBHWC)</u>
		

b. Has the responsible agency changed since last year's Annual Synar Report?

	☐ Yes ⊠ No
c.	Describe the coordination and collaboration that occur between the agency responsible for tobacco prevention and the agency responsible for oversight of the Synar requirements. (Check all that apply.) The two agencies
	Are the same
	Have a formal written memorandum of agreement
	Have an informal partnership
	Conduct joint planning activities
	Combine resources
	Have other collaborative arrangement(s) (Please describe.) GBHWC continues
	to work in collaboration with other partnering agencies in the Governor's PEACE
	Council, Department of Public Health and Social Services is an active member of
	the PEACE Council and leads the Non-Communicable Disease (NCD) Consortium.
	GBHWC participates in the NCD Consortium to strengthen Guam's comprehensive state plans for mental health promotion and the prevention of substance abuse,
	suicide and other deaths resulting from non-communicable diseases. In turn, one o
	DPHSS priorities includes tobacco prevention as evidenced by their Tobacco
	Prevention and Control Program which takes lead in the NCD Consortium Tobacco
	Action Team.
	No relationship
d.	Does a state agency contract with the Food and Drug Administration's Center for Tobacco Products (FDA/CTP) to enforce the youth access and advertising restrictions in the Family Smoking Prevention and Tobacco Control Act? Yes No (if no, go to Question 5)
e.	If yes, identify the state agency responsible for enforcing the youth access and advertising restrictions in the Family Smoking Prevention and Tobacco Control Act (the agency that is under contract to the Food and Drug
	Administration's Center for Tobacco Products (FDA/CTP)). Prevention and Training Branch (PEACE Office) of the Guam Behavioral Health
	and Wellness Center (GBHWC)
f.	Has the responsible agency changed since last year's Annual Synar Report? ☐ Yes ☑ No
g.	Describe the coordination and collaboration that occur between the agency contracted with the FDA to enforce federal youth tobacco access laws and the agency responsible for oversight of the Synar requirements. (Check all that apply.) The two agencies:
	Are the same
	Have a formal written memorandum of agreement
	Have an informal partnership
	Conduct joint planning activities

		Combine resources
		Have other collaborative arrangement(s) (Please describe.) GBHWC's
		Prevention and Training Branch is responsible for oversight of the Synar
		requirements and also employs program coordinators responsible for Guam's FDA
		contract. However, due to the way the approved FDA proposal was written the
		activities and efforts used for Synar activities are completely separate from FDA
		activities.
		☐ No relationship
	h.	Does the state use data from the FDA enforcement inspections for Synar survey reporting?
		Yes No
5.	Please a	inswer the following questions regarding the state's activities to enforce the
•		outh access to tobacco law(s) in FFY 2017 (see 42 U.S.C. 300x-26 and 45 C.F.R.
	96.130(6	· · · · · · · · · · · · · · · · · · ·
	,	
	a.	Which one of the following describes the enforcement of state youth access to tobacco laws carried out in your state? (Check one category only.)
		Enforcement is conducted exclusively by local law enforcement agencies.
		Enforcement is conducted exclusively by state agency(ies).
		Enforcement is conducted by both local and state agencies
		Enforcement is conducted by both local and state agencies.

b.	The following items concern penalties imposed for all violations of state youth
	access to tobacco laws by LOCAL AND/OR STATE LAW ENFORCEMENT
	AGENCIES (this does not include enforcement of local laws or federal youth
	tobacco access laws). Please fill in the number requested. If state law does not
	allow for an item, please mark "NA" (not applicable). If a response for an item
	is unknown, please mark "UNK." The chart must be filled in completely.

PENALTY	OWNERS	CLERKS	TOTAL
Number of citations issued	37	0	37
Number of fines assessed	37	0	37
Number of permits/licenses suspended			
Number of permits/licenses revoked			
Other (Please describe.)		A A A A A A A A A A A A A A A A A A A	

Number of permits/ficenses revoked			
Other (Please describe.)			
c. Are citations or warnings issued to reta minors for inspections that are part of			acco to
∑ Yes □ No			
If "Yes" to 5c, please describe the state's the survey results from retailers alerting teams:			•
In order to minimize risk of bias from reta survey teams, GBHWC utilizes multiple s simultaneously. In addition, not all stores be inspected are divided up so that inspec	survey teams to are inspected	o conduct the on the same of	inspections lay. Stores to
 Which one of the following best describe access to tobacco laws carried out in yo 			
Enforcement is conducted only at thos survey.	e outlets rando	omly selected	for the Synar
Enforcement is conducted only at a su the Synar survey.	bset of outlets	not randomly	selected for
Enforcement is conducted at a combin Synar survey and outlets not randomly			
e. Did every tobacco outlet in the state rec included enforcement of the state youth		_	
⊠ Yes □ No			
. What additional activities are conducte and compliance with state youth tobacc and briefly describe each activity in the te	o access law(s)? (Check all	that apply
Merchant education and/or training			2
			6

GBHWC's Prevention and Training Branch and the Department of Revenue and Taxation (DRT) jointly coordinate and conduct merchant and community education. Both agencies are responsible for conducting random, unannounced Synar inspections. During compliance inspections, retailers are provided with merchant education packets and other merchant materials such as the door sticker and register sticker sign showing "P.L. 24-278 No Sale Under 18". Annually, GBHWC produces and distributes merchant educational and awareness materials in a packet to approximately 400+ licensed tobacco vendors that: 1) includes information on Guam's youth access laws and federal law regarding sale of tobacco to youth; 2) provides specific strategies for how they can apply with the Guam youth access laws; and 3) highlights the health effects of tobacco use.

Incentives for merchants who are in compliance (e.g., nonenforcement compliance checks in which compliant retailers are given positive reinforcement and noncompliant retailers are warned about youth access laws)

To show appreciation and help retailers that pass inspection, after each individual Synar inspection where "No" sale occurred, immediately a member of the inspection team will issue a "Certificate of Compliance" signed by both the Directors of the Department of Revenue and Taxation and the Guam Behavioral Health and Wellness Center. The certificates are given to clerks and/or store owners and are often displayed in the store.

Community education regarding youth access laws

- a) The 27th Annual Youth for Youth conference held on March 31, 2017 thru April 2, 2017, brought together 60 youth leaders/facilitators and 300 adolescents from middle and high schools both public and private locally and regionally. The 3-day conference is designed to be peer led and provides workshops, resources, and information on the prevention of substance abuse, including tobacco.
- b) The 22nd Annual Summer Youth Swimming and Water Safety Program participated by 200 kids age 5 to 15 years old learned about water safety instruction, Intro to tennis, Intro to First Aid and CPR, drug prevention/awareness presentations include tobacco, and self-esteem & team building workshops.
- c) GBHWC continues to support 1) tobacco control policy efforts to reduce youth access and increase cessation services to at-risk populations in the community; and 2) education, information dissemination and environmental activities used to prevent tobacco sales to minors.
- d) Continue to conduct annual compliance checks required by Synar. Inspection teams are required to attend protocols training to learn the rules, regulations, basic procedures and paperwork required prior to the scheduled inspections. Youth are thoroughly trained and prepared to conduct the compliance inspections.

Media use to publicize compliance inspection results	

Community mobilization to increase support for retailer compliance with youth access laws

GBHWC's Prevention and Training Branch (PEACE Office) actively participates in all of Guam's Non-Communicable Disease (NCD) consortium activities and is the team lead for the Tobacco Prevention and Control Action Team.

Other activities (Please list.)

Continued partnerships and collaborations with community partners and stakeholders around tobacco control and education (Great American Smoke Out, Kick Butts Day, and Guam NO Tobacco Day).

SYNAR SURVEY METHODS AND RESULTS

The following questions pertain to the survey methodology and results of the Synar survey used by the state to meet the requirements of the Synar Regulation in FFY 2017 (see 42 U.S.C. 300x-26 and 45 C.F.R. 96.130).

6.	Has the	sampling methodology changed from the previous year?
	☐ Yes	⊠ No
	methodo Methodo	e is required to have an approved up-to-date description of the Synar sampling plogy on file with CSAP. Please submit a copy of your Synar Survey Sampling plogy (Appendix B). If the sampling methodology changed from the previous g year, these changes must be reflected in the methodology submitted.
	a. If ye	s, describe how and when this change was communicated to SAMHSA
		nswer the following questions regarding the state's annual random, need inspections of tobacco outlets (see 45 C.F.R. $96.130(d)(2)$).
	a.	Did the state use the optional Synar Survey Estimation System (SSES) to analyze the Synar survey data?
		⊠ Yes □ No
		If Yes, attach SSES summary tables 1, 2, 3, and 4 to the hard copy of the ASR and upload a copy of SSES tables 1–5 (in Excel) to WebBGAS. Then go to Question 8. If No, continue to Question 7b.
	b.	Report the weighted and unweighted Retailer Violation Rate (RVR) estimates, the standard error, accuracy rate (number of eligible outlets divided by the total number of sampled outlets), and completion rate (number of eligible outlets inspected divided by the total number of eligible outlets).
		Unweighted RVR
		Weighted RVR
		Standard error (s.e.) of the (weighted) RVR
		Fill in the blanks to calculate the $\underline{\text{right limit}}$ of the right-sided 95% confidence interval.
		+ (1.645 ×) = RVR Estimate plus (1.645 times Standard Error) equals Right Limit
		Accuracy rate
		Completion rate

c.	Fill out Form 1 in Appendix A (Forms 1–5). (Required regardless design.)	s of the sample			
d.	How were the (weighted) RVR estimate and its standard error obtained? (Check the one that applies.)				
	☐ Form 2 (Optional) in Appendix A (Forms 1–5) (Attach completed ☐ Other (Please specify. Provide formulas and calculations or attach the program code and output with description of all variable name.	ch and explain			
e.	If stratification was used, did any strata in the sample contain or cluster this year?	nly one outlet			
	☐ Yes ☐ No ☐ No stratification				
	If Yes, explain how this situation was dealt with in variance estimati	on.			
f.	Was a cluster sample design used?				
	☐ Yes ☐ No				
	If Yes, fill out and attach Form 3 in Appendix A (Forms 1–5), and an following question.	iswer the			
	If No, go to Question 7g.				
	Were any certainty primary sampling units selected this year?	Were any certainty primary sampling units selected this year?			
	☐ Yes ☐ No				
	If Yes, explain how the certainty clusters were dealt with in variance estimation.				
g.	Report the following outlet sample sizes for the Synar survey.				
ſ		Sample Size			
	Effective sample size (sample size needed to meet the SAMHSA precision requirement assuming simple random sampling)				
	Target sample size (the product of the effective sample size and the design effect)				
	Original sample size (inflated sample size of the target sample to counter the sample attrition due to ineligibility and noncompletion)				
	Eligible sample size (number of outlets found to be eligible in the sample)				
	Final sample size (number of eligible outlets in the sample for which an inspection was completed)				

h. Fill out Form 4 in Appendix A (Forms 1-5).

8.	Did the	state's Synar survey use a list frame?
	⊠ Yes	□No
	If Yes, a	nswer the following questions about its coverage.
	a.	The calendar year of the latest Sampling frame coverage study: 2011
	b.	Percent coverage from the latest Sampling frame coverage study: 98.3%
	c.	Was a new study conducted in this reporting period?
		□Yes ⊠ No
		If Yes, please complete Appendix D (List Sampling Frame Coverage Study) and submit it with the Annual Synar Report.
	d.	The calendar year of the next coverage study planned: see response on section D – Waiver approved by SAMHSA/CSAP on 6/14/13 for FFY2014 ASR
9.	Has the	Synar survey inspection protocol changed from the previous year?
	☐ Yes	⊠ No
	protocol (Append	e is required to have an approved up-to-date description of the Synar inspection on file with CSAP. Please submit a copy of your Synar Survey Inspection Protocol ix C). If the inspection protocol changed from the previous year, these changes must sted in the protocol submitted.
	a.	If Yes, describe how and when this change was communicated to SAMHSA
	b.	Provide the inspection period: From 7/15/17 to 8/05/17 MM/DD/YY MM/DD/YY
	c.	Provide the number of youth inspectors used in the current inspection year:
		<u>9</u>
		NOTE: If the state uses SSES, please ensure that the number reported in 9b matches that reported in SSES Table 4, or explain any difference.
	d.	Fill out and attach Form 5 in Appendix A (Forms 1–5). (Not required if the state used SSES to analyze the Synar survey data.)

SECTION II: FFY 2018 (Intended Use):

Public law 42 U.S.C. 300x-26 of the Public Health Service Act and 45 C.F.R. 96.130 (e) (4, 5) require that the states provide information on future plans to ensure compliance with the Synar requirements to reduce youth tobacco access.

1.	In the upcoming year, does the state anticipate any changes in: Synar sampling methodology Yes No Synar inspection protocol Yes No
	If changes are made in either the Synar sampling methodology or the Synar inspection protocol, the state is required to obtain approval from CSAP prior to implementation of the change and file an updated Synar Survey Sampling Methodology (Appendix B) or an updated Synar Survey Inspection Protocol (Appendix C), as appropriate.
2.	Please describe the state's plans to maintain and/or reduce the target rate for Synar inspections to be completed in FFY 2018. Include a brief description of plans for law enforcement efforts to enforce youth tobacco access laws, activities that support law enforcement efforts to enforce youth tobacco access laws, and any anticipated changes in youth tobacco access legislation or regulation in the state.
	There are several policy changes successes: (1) Bill 9-34 "AN ACT TO AMMEND § 6101(1) OF ARTICLE 1; § 6302 OF ARTICLE 3; AND ARTICLE 4, ALL OF CHAPTER 6, TITLE 11, GUAM CODE ANNOTATED, RELATIVE TO CALRIFYING THE DEFINITION OF ELECTRONIC CIGARETTES, AND RAISING THE MINIMUM AGE OF LEGAL ACCESS TO TOBACCO PRODUCTS AND ELECTRONIC CIGARETTES TO TWENTY-ONE (21) YEARS; AND TO CITE THIS ACT AS THE "YOUTH PROTECTION ACT OF 2017"," PUBLIC LAW 34-01. This Act shall take effect on January 01, 2018. (2) Bill 199-34 Relative to increasing the tobacco tax for prevention cessation, and data collection relative to tobacco use. This Act shall take effect 30 days after ratification by the voters of Guam in a referendum during the 2018 General Election.
	Successes that affect the youth: Continue to provide the Guam Department of Education with tobacco cessation trainings and printed resource materials to help youth users.
	Community Empowerment successes: Continue to provide trainings to communities; a) Tobacco Control Enforcers Training; b) Brief Tobacco Intervention Skill Certification; c) Tobacco Cessation Facilitator Training.
	Community Engagement Successes: Continue to conduct annual Synar compliance inspection survey.

3. Describe any challenges the state faces in complying with the Synar regulation. (Check all that apply and describe each challenge in the text box below it.)

Ш	Limited resources for law enforcement of youth access laws
	Limited resources for activities to support enforcement and compliance with youth tobacco access laws
	Limitations in the state youth tobacco access laws
	Limited public support for enforcement of youth tobacco access laws
	Limitations on completeness/accuracy of list of tobacco outlets
	Limited expertise in survey methodology
	Laws/regulations limiting the use of minors in tobacco inspections
	Difficulties recruiting youth inspectors
1	
_	Issues regarding the balance of inspections conducted by youth inspectors age 15 under
8	
□ ins _i	Issues regarding the balance of inspections conducted by one gender of youth pectors
	Geographic, demographic, and logistical considerations in conducting inspections
	Cultural factors (e.g., language barriers, young people purchasing for their elders)
- 1	
	Issues regarding sources of tobacco under tribal jurisdiction

Other challenges (Please list.)	La Carlo de Arione	

APPENDIX A: FORMS 1-5

FORM 1 (Required for all states not using the Synar Survey Estimation System (SSES) to analyze the Synar Survey data)

Complete Form 1 to report sampling frame and sample information and to calculate the unweighted retailer violation rate (RVR) using results from the current year's Synar survey inspections.

Instructions for Completing Form 1: In the top right-hand corner of the form, provide the state name and reporting federal fiscal year (FFY 2018). Provide the remaining information by stratum if stratification was used. Make copies of the form if additional rows are needed to list all the strata.

- Column 1: If stratification was used:
 - 1(a) Sequentially number each row.
 - 1(b) Write in the name of each stratum. All strata in the state must be listed.

If no stratification was used:

- 1(a) Leave blank.
- 1(b) Write "state" in the first row (indicates that the whole state is a single stratum).

Note for unstratified samples: For Columns 2–5, wherever the instruction refers to "each stratum," report the specified information for the state as a whole.

- Column 2: 2(a) Report the number of over-the-counter (OTC) outlets in the sampling frame in each stratum.
 - 2(b) Report the number of vending machine (VM) outlets in the sampling frame in each stratum.
 - 2(c) Report the combined total of OTC and VM outlets in the sampling frame in each stratum.
- Column 3: 3(a) Report the estimated number of eligible OTC outlets in the OTC outlet population in each stratum.
 - 3(b) Report the estimated number of eligible VM outlets in the VM outlet population in each stratum.
 - 3(c) Report the combined total estimated number of eligible OTC and VM outlets in the total outlet population in each stratum.

The estimates for Column 3 can be obtained from the Synar survey sample as the weighted sum of eligible outlets by outlet type.

- Column 4: 4(a) Report the number of eligible OTC outlets for which an inspection was completed, for each stratum.
 - 4(b) Report the numbers of eligible VM outlets for which an inspection was completed, for each stratum.
 - 4(c) Report the combined total of eligible OTC and VM outlets for which an inspection was completed, for each stratum.
- Column 5: 5(a) Report the number of OTC outlets found in violation of the law as a result of completed inspections, for each stratum.
 - 5(b) Report the number of VM outlets found in violation of the law as a result of completed inspections, for each stratum.
 - 5(c) Report the combined total of OTC and VM outlets found in violation of the law as a result of completed inspections, for each stratum.

Totals: For each subcolumn (a-c) in Columns 2-5, provide totals for the state as a whole in the last row of the table. These numbers will be the sum of the numbers in each row for the respective column.

FORM 1 (Required for all states not using the Synar Survey Estimation System [SSES] to analyze the Synar Survey data.)

	_			_	_	_		 	 		 	_
am		OUND IN RING IS	(c) Total Outlets (5a+5b)									
State: Guam FFY: 2018	(5)	NO. OF OUTLETS FOUND IN VIOLATION DURING INSPECTIONS	(b) Vending Machines (VM)									
ος <u>μ</u>		NO. OF O VIOL IN	(a) Over-the- Counter (OTC)									
		rlets	(c) Total Outlets (4a+4b)									
ratum	(4)	NUMBER OF OUTLETS INSPECTED	(b) Vending Machines (VM)									
ults by St		NUMB	(a) Over-the- Counter (OTC)									
ction Res		BER OF ETS IN N	(c) Total Outlets (3a+3b)									
nar Inspe	(3)	ESTIMATED NUMBER OF ELIGIBLE OUTLETS IN POPULATION	(b) Vending Machines (VM)									
nary of Sy	mary of Synar Inspection Results by Stratum (3) ESTIMATED NUMBER OF ELIGIBLE OUTLETS IN POPULATION INSPEC	(a) Over-the- Counter (OTC)										
	(c) Total Outlets (2a+2b)											
	Sun (2) NUMBER OF OUTLETS IN SAMPLING FRAME	(b) Vending Machines (VM)										
NUMBE	(a) Over-the- Counter (OTC)											
	(I) STRATUM		(b) Stratum Name									
		STRA	(a) Row#									

RECORD COLUMN TOTALS ON LAST LINE (LAST PAGE ONLY IF MULTIPLE PAGES ARE NEEDED).

FORM 2 (Optional)

Appropriate for stratified simple or systematic random sampling designs.

Complete Form 2 to calculate the weighted RVR. This table (in Excel form) is designed to calculate the weighted RVR for stratified simple or systematic random sampling designs, accounting for ineligible outlets and noncomplete inspections encountered during the annual Synar survey.

Instructions for Completing Form 2: In the top right-hand corner of the form, provide the state name and reporting federal fiscal year (FFY 2018).

- Column 1: Write in the name of each stratum into which the sample was divided. These should match the strata reported in Column 1(b) of Form 1.
- Column 2: Report the number of outlets in the sampling frame in each stratum. These numbers should match the numbers reported for the respective strata in Column 2(c) of Form 1.
- Column 3: Report the original sample size (the number of outlets originally selected, *including* substitutes or replacements) for each stratum.
- Column 4: Report the number of sample outlets in each stratum that were found to be eligible during the inspections. Note that this number must be less than or equal to the number reported in Column 3 for the respective strata.
- Column 5: Report the number of eligible outlets in each stratum for which an inspection was completed. Note that this number must be less than or equal to the number reported in Column 4. These numbers should match the numbers reported in Column 4(c) of Form 1 for the respective strata.
- Column 6: Report the number of eligible outlets inspected in each stratum that were found in violation. These numbers should match the numbers reported in Column 5(c) of Form 1 for the stratum.
- Column 7: Form 2 (in Excel form) will automatically calculate the stratum RVR for each stratum in this column. This is calculated by dividing the number of inspected eligible outlets found in violation (Column 6) by the number of inspected eligible outlets (Column 5). The state unweighted RVR will be shown in the Total row of Column 7.
- Column 8: Form 2 (in Excel form) will automatically calculate the estimated number of eligible outlets in the population for each stratum. This calculation is made by multiplying the number of outlets in the sampling frame (Column 2) times the number of eligible outlets (Column 4) divided by the original sample size (Column 3). Note that these numbers will be less than or equal to the numbers in Column 2.
- Column 9: Form 2 (in Excel form) will automatically calculate the relative stratum weight by dividing the estimated number of eligible outlets in the population for each stratum in Column 8 by the Total of the values in Column 8.
- Column 10: Form 2 (in Excel form) will automatically calculate each stratum's contribution to the state weighted RVR by multiplying the stratum RVR (Column 7) by the relative stratum weight (Column 9). The weighted RVR for the state will be shown in the Total row of Column 10.
- Column 11: Form 2 (in Excel form) automatically calculates the standard error of each stratum's RVR (Column 7). The standard error for the state weighted RVR will be shown in the Total row of Column 11.
- TOTAL: For Columns 2-6, Form 2 (in Excel form) provides totals for the state as a whole in the last row of the table. For Columns 7-11, it calculates the respective statistic for the state as a whole.

FORM 2 (Optional) Appropriate for stratified simple or systematic random sampling designs.

Calculation of Weighted Retailer Violation Rate State: Guam FFY: 2018	(2) and be seen a contribution (3) Sample of (4) Number of (5) x x p=x/n2 Estimated y=N/n2 Estimated of Column 8 Contribution Stratum (11) Sample of Column 8 Contribution Sample Size Eligible Inspected in Violation Rate Population Weight RYR Stratum RVR	
	(2) Number of Outlets in Sampling Frame	
	(1) Stratum Name	Total

N - number of outlets in sampling frame
n - original sample size (number of outlets in the original sample)
n1 - number of sample outlets that were found to be eligible
n2 - number of eligible outlets that were inspected
x - number of inspected outlets that were found in violation
p - stratum retailer violation rate (p=x/n2)
N' - estimated number of eligible outlets in population (N'=N*n1/n)

relative stratum weight (w=N'/Total Column 8)

pw - stratum contribution to the weighted RVR s.e. - standard error of the stratum RVR

FORM 3 (Required when a cluster design is used for all states not using the Synar Survey Estimation System [SSES] to analyze the Synar survey data.)

Complete Form 3 to report information about primary sampling units when a cluster design was used for the Synar survey.

Instructions for Completing Form 3: In the top right-hand corner of the form, provide the state name and reporting federal fiscal year (FFY 2018).

Provide information by stratum if stratification was used. Make copies of the form if additional rows are needed to list all the strata.

Column 1: Sequentially number each row.

Column 2: If stratification was used: Write in the name of stratum. All strata in the state must be listed.

If no stratification was used: Write "state" in the first row to indicate that the whole state constitutes a single stratum.

Column 3: Report the number of primary sampling units (PSUs) (i.e., first-stage clusters) created for each stratum.

Column 4: Report the number of PSUs selected in the original sample for each stratum.

Column 5: Report the number of PSUs in the final sample for each stratum.

TOTALS: For Columns 3–5, provide totals for the state as a whole in the last row of the table.

	Summary of Cluste		mpled State:	
			FFY: 2018	
(1) Row #	(2) Stratum Name	(3) Number of PSUs Created	(4) Number of PSUs Selected	(5) Number of PSU in the Final Sample
_				
	Tol	tai		

FORM 4 (Required for all states not using the Synar Survey Estimation System [SSES] to analyze the Synar Survey data)

Complete Form 4 to provide detailed tallies of ineligible sample outlets by reasons for ineligibility and detailed tallies of eligible sample outlets with noncomplete inspections by reasons for noncompletion.

Instructions for Completing Form 4: In the top right-hand corner of the form, provide the state name and reporting federal fiscal year (FFY 2018).

Column 1(a): Enter the number of sample outlets found ineligible for inspection by reason for ineligibility. Provide the total number of ineligible outlets in the row marked "Total."

Column 2(a): Enter the number of eligible sample outlets with noncomplete inspections by reason for noncompletion. Provide the total number of eligible outlets with noncomplete inspections in the row marked "Total."

Inspection Tallies b	y Reason	of Ineligibility or Noncompletion State: Guam				
		FFY: 2018				
(1) INELIGIBLE		(2) ELIGIBLE				
Reason for Ineligibility	(a) Counts	Reason for Noncompletion	(a) Counts			
Out of business	14	In operation but closed at time of visit	13			
Does not sell tobacco products	12	Unsafe to access				
Inaccessible by youth	9	Presence of police				
Private club or private residence		Youth inspector knows salesperson	5			
Temporary closure	6	Moved to new location	1			
Unlocatable	8	Drive-thru only/youth inspector has no driver's license	,			
Wholesale only/Carton sale only		Tobacco out of stock				
Vending machine broken		Ran out of time				
Duplicate		Other noncompletion reason(s) (Describe.)				
Other ineligibility reason(s) (Describe.) These vendors are located inside airport security area. Customers are restricted to passengers with boarding passes only.	3					
Total	52	Total	19			

FORM 5 (Required for all states not using the Synar Survey Estimation System [SSES] to analyze the Synar survey data)

Complete Form 5 to show the distribution of outlet inspection results by age and gender of the youth inspectors.

Instructions for Completing Form 5: In the top right-hand corner of the form, provide the state name and reporting federal fiscal year (FFY 2018).

Column 1: Enter the number of attempted buys by youth inspector age and gender.

Column 2: Enter the number of successful buys by youth inspector age and gender.

If the inspectors are age eligible but the gender of the inspector is unknown, include those inspections in the "Other" row. Calculate subtotals for males and females in rows marked "Male Subtotal" and "Female Subtotal." Sum subtotals for Male, Female, and Other and record in the bottom row marked "Total." Verify that that the total of attempted buys and successful buys equals the total for Column 4(c) and Column 5(c), respectively, on Form 1. If the totals do not match, please explain any discrepancies.

	Synar Survey Inspector Charac	
		State: Guam
		FFY: 2018
	(1) Attempted Buys	(2) Successful Buys
Male		-
15 years		
16 years		
17 years		
18 years		
Male Subtotal		
Female		
15 years		
16 years		
17 years		
18 years		
Female Subtotal		
Other		
Total		

APPENDIXES B & C: FORMS

Instructions

Appendix B (Sampling Design) and Appendix C (Inspection Protocol) are to reflect the state's CSAP-approved sampling design and inspection protocol. These appendixes, therefore, should generally describe the design and protocol and, with the exception of Question #10 of Appendix B, are not to be modified with year-specific information. Please note that any changes to either appendix must receive CSAP's advance, written approval. To facilitate the state's completion of this section, simply cut and paste the previously approved sampling design (Appendix B) and inspection protocol (Appendix C) and respond to Question #10 of Appendix B to provide the requested information about sample size calculations for the Synar survey conducted in FFY 2017.

APPENDIX B: SYNAR SURVEY SAMPLING METHODOLOGY

			e: Guam 7: 2018
1. What type of sai	mpling f	rame is used?	
List fran	ne (<i>Go to</i>	o Question 2.)	
☐ Area fra	me (Go	to Question 3.)	
List-assi	sted area	a frame (Go to Question 2.)	
a brief description including how noten the list Use the correspondence of the list 1 - Statewide 2 - Local contents in the list include the list includes the list include the list includes the list	on of the ew outle its are u onding nu commercial b	t frame. Indicate the type of source is frame source. Explain how the lists are identified and added to the frame pdated (cycle). (After completing this imber to indicate Type of Source in the table is all business list 4 – Statewide retail list 5 – Statewide liquor is idense/permit list 6 – Other	s are updated (method), ame. In addition, explain question, go to Question 4.) below. cense/permit list
Name of Frame Source	Type of Source	Description	Updating Method and Cycle
Obacco License List	3	Under Guam Public Law 24-278, a tobacco vendor or store must obtain a tobacco vendor license from the Guam Department of Revenue and Taxation in order to sell tobacco products.	The owner of an establishment wishing to sell tobacco products must complete an initial tobacco business license application from the Guam Department of Revenue and Taxation's General Licensing Branch. Tobacco vendor license must be renewed annually.
		describe how area sampling units a out in the formation of the area fran	

4. Federal regulation requires that vending machines be inspected as part of the Synar survey. Are vending machines included in the Synar survey?

If Yes, what percentage of the state's population is not covered by the area frame?

Yes X No	Г	Yes	\boxtimes	No
-----------	---	-----	-------------	----

%

	all that	apply.
	\boxtimes	State law bans vending machines.
		State law bans vending machines from locations accessible to youth.
		State has a contract with the FDA and is actively enforcing the vending machine requirements of the Family Smoking Prevention and Tobacco Control Act.
		Other (Please describe.)
	<u>If</u> :	Yes, please indicate how likely it is that vending machines will be sampled.
		Vending machines are sampled separately to ensure vending machines are included the sample
	po sai	Vending machines are sampled together with over the counter outlets, so it is ssible that no vending machines were sampled, however they are included in the mpling frame and have a non-zero probability of selection Other reasons (<i>Please describe</i> .)
5.	Which	category below best describes the sample design? (Check only one.)
	\boxtimes	Census (STOP HERE: Appendix B is complete.)
	Uı	nstratified statewide sample:
		Simple random sample (Go to Question 9.)
		Systematic random sample (Go to Question 6.)
		Single-stage cluster sample (Go to Question 8.)
		Multistage cluster sample (Go to Question 8.)
	St	ratified sample:
		Simple random sample (Go to Question 7.)
		Systematic random sample (Go to Question 6.)
		Single-stage cluster sample (Go to Question 7.)
		Multistage cluster sample (Go to Question 7.)
		Other (Please describe and go to Question 9.)
6.		e the systematic sampling methods. (After completing Question 6, go to Question 7 ication is used. Otherwise go to Question 9.)
7	Provide	the following information about stratification.
/•		_
	a.	Provide a full description of the strata that are created.
	b.	Is clustering used within the stratified sample?
		Yes (Go to Question 8.)
		No. (Go to Question 9)

If No, please indicate the reason(s) they are not included in the Synar survey. Please check

ð. I	rroviae	the following information about clustering.
	a.	Provide a full description of how clusters are formed. (If multistage clusters are used, give definitions of clusters at each stage.)
b. Specify the sampling method (simple random, systematic, or probability proportional to size sampling) for each stage of sampling and describe hat method(s) is (are) implemented.		
9. P	Provide	the following information about determining the Synar Sample.
	a.	Was the Synar Survey Estimation System (SSES) used to calculate the sample size?
		Yes (Respond to part b.)
		No (Respond to part c and Question 10c.)
	b.	SSES Sample Size Calculator used?
		State Level (Respond to Question 10a.)
		Stratum Level (Respond to Question 10a and 10b.)
	c.	Provide the formulas for determining the effective, target, and original outlet sample sizes.
		e the following information about sample size calculations for the Synar survey sted in FFY 2017.
	a.	If the state uses the sample size formulas embedded in the SSES Sample Size Calculator to calculate the state level sample size, please provide the following information:
		Inputs for Effective Sample Size:
		RVR:
		Frame Size:
		Input for Target Sample Size:
		Design Effect:
		Inputs for Original Sample Size:
		Safety Margin:

Completion Rate:
b. If the state uses the sample size formulas embedded in the SSES Sample Size Calculator to calculate the stratum level sample sizes, please provide the

Accuracy (Eligibility) Rate:

stratum level information:

c. If the state does not use the sample size formulas embedded in the SSES Sample Size Calculator, please provide all inputs required to calculate the effective, target, and original sample sizes as indicated in Question 9.

APPENDIX C: SYNAR SURVEY INSPECTION PROTOCOL SUMMARY

		State:	Guam
		FFY:	2018
<i>In</i>	spection l	ed to WebBGAS a copy of the Synar inspection form und Form" and a copy of the protocol used to train inspection e results of the Synar inspections under the heading "Sy	n teams on conducting and
1.	How do	es the state Synar survey protocol address the followin	g?
	a.	Consummated buy attempts?	
		⊠ Required	
		Permitted under specified circumstances (Describe:)
		Not permitted	
	h.	Youth inspectors to carry ID?	
	Б.	Required	
		Permitted under specified circumstances (Describe:)
		Not permitted Not permitted	,
		Z Not polimed	
	c.	Adult inspectors to enter the outlet?	
		⊠ Required	
		Permitted under specified circumstances (Describe:)
		☐ Not permitted	
	d.	Youth inspectors to be compensated?	
		⊠ Required	
		Permitted under specified circumstances (Describe:)
		☐ Not permitted	
2.		the agency(ies) or entity(ies) that actually conduct the spections of tobacco outlets. (Check all that apply.)	random, unannounced
		Law enforcement agency(ies)	
	\boxtimes	State or local government agency(ies) other than law enfo	orcement
		Private contractor(s)	
	\boxtimes	Other	
		t the agency name(s): Guam Behavioral Health and We	
	•	partment of Revenue and Taxation, and The Youth Foganization (NGO), and community volunteers	r routh Live! Guam

3.	Are Synar inspections combined with law enforcement efforts (i.e., do law enforcement representatives issue warnings or citations to retailers found in violation of the law at the time of the inspection?)?
	☐ Always ☐ Usually ☐ Sometimes ☐ Rarely ☐ Never
4.	Describe the type of tobacco products that are requested during Synar inspections.
	a. What type of tobacco products are requested during the inspection?
	 ☐ Cigarettes ☐ Small Cigars ☐ Cigarillos ☐ Smokeless Tobacco ☐ Electronic Cigarettes/Electronic Nicotine Delivery Systems (ENDS) ☐ Other
	 Describe the protocol for identifying what types of products and what brands of products are requested during an inspection.
	During Synar Inspection training, youth inspectors decide on a brand that will be asked for during the inspections. The brand chosen and decided upon is one that is common and familiar to Guam's youth.
5a.	Describe the methods used to recruit, select, and train adult supervisors.
	In general, youth inspectors are recruited from the Youth for Youth Live! Guam (youth organization). More recently, youth recruitment has occurred through the Guam Non-Communicable Disease (NCD) Consortium's Tobacco Prevention and Control Action Team. If more volunteers are needed, the Prevention and Training Branch will identify potential recruits from other youth groups or from the mentors of Summer Youth Swim Program.
5b.	Describe the methods used to recruit, select, and train youth inspectors.
	In general, youth inspectors are recruited from the Youth for Youth Live! Guam (youth organization). More recently, youth recruitment has occurred through the Guam Non-Communicable Disease (NCD) Consortium's Tobacco Prevention and Control Action Team. If more volunteers are needed, GBHWC will identify potential recruits from other youth groups, schools or through word of mouth, and an advertisement in the newspaper.
6.	Are there specific legal or procedural requirements instituted by the state to address the issue of youth inspectors' immunity when conducting inspections?
	a. Legal
	⊠ Yes □ No
	(If Yes, please describe.)

		this Chapter, and the minor is immune from prosecution or penalties thereunder, or under any other provision of law prohibiting the purchase of these products by minors".
	b.	Procedural
		(If Yes, please describe.)
		Guam's Manual of Procedures includes a section on "Legal Considerations" which address issues related to confidentiality, protection of minors' identities, immunity for youth inspectors, and non-involvement of youth inspectors of legal proceedings arising from the inspection process.
7. Are there specific legal or procedural requirements instituted by the state to address the issue of the safety of youth inspectors during all aspects of the Synar inspection process?		of the safety of youth inspectors during all aspects of the Synar inspection
	a.	Legal
		☐ Yes ⊠ No
		(If Yes, please describe.)
	b.	Procedural
		⊠ Yes □ No
		(If Yes, please describe.)
		Guam's Manual of Procedures emphasizes the importance of ensuring the safety of youth inspectors throughout the inspection process. Specifically, youth inspectors are instructed to never venture into any establishment where they may feel unsafe, and to retreat immediately if upon entry into a store, they have a reason to feel uncomfortable or unsafe. In addition, Guam's Manual of Procedures make it mandatory for adult inspectors to always be within the vicinity of a youth inspector during an attempted buy.
8.	inspection	re any other legal or procedural requirements the state has regarding how ons are to be conducted (e.g., age of youth inspector, time of inspections, that must occur)?
	a.	Legal
		∑ Yes □ No
		(If Yes, please describe.)

Stipulated in Section 6120 of Guam P.L. 24-278 states that "Participation in these enforcement activities by a minor shall not constitute a violation of Section 6116 of

Guam P.L. 24-278 Section 6120 outlines all of the legal requirements relating to the conduct of inspections, including the enlistment of youth inspectors from ages 12-17, the necessity for parental consent in writing, the right to use video recording equipment during inspections, the necessity to avoid entrapment by mandating all youth inspectors to answer truthfully when asked about their age, the need for adult supervision of all youth inspectors, and the role of the adult inspector in informing the vendor of non-compliance with the law only after the youth inspector has left the premises.

b. Procedural

(If Yes, please describe.)

Guam's Manual of Procedures outlines in detail the requirements for inspection, consistent with the law and with recommended CSAP protocols. One item to note is that the Manual of Procedures prescribes the recruitment of youth inspectors' aged 14-17, consistent with Federal guidelines, although Guam's law allows for youth as young as 12 to participate.

APPENDIX D: LIST SAMPLING FRAME COVERAGE STUDY

(LIST FRAME ONLY)

		State: Guam FFY: 2018
1.	Synar co	ar year of the coverage study: "SAMHSA/CSAP approved 'Complete waiver of the overage study requirement' on 06/14/2013" (which was Guam's response in its 4 ASR.)
2.	a. b. c. d.	Unweighted percent coverage found:% Weighted percent coverage found:% Number of outlets found through canvassing: Number of outlets matched on the list frame:
3.	a.	Describe how areas were defined. (e.g., census tracts, counties, etc.)
	b.	Were any areas of the state excluded from sampling? Yes No If Yes, please explain.
4.	Please a	nswer the following questions about the selection of canvassing areas.
	a.	Which category below best describes the sample design? (Check only one.)
		Census (Go to Question 6.)
		Unstratified statewide sample:
		Simple random sample (Respond to Part b.)
		Systematic random sample (Respond to Part b.)
		☐ Single-stage cluster sample (Respond to Parts b and d.)☐ Multistage cluster sample (Respond to Parts b and d.)
		Stratified sample:
		Simple random sample (Respond to Parts b and c.)
		Systematic random sample (Respond to Parts b and c.)
		Single-stage cluster sample (Respond to Parts b, c, and d.)
		Multistage cluster sample (Respond to Parts b, c, and d.)
		Other (Please describe and respond to Part b.)

	b.	Describe the sampling methods.
	C.	Provide a full description of the strata that were created.
	d.	Provide a full description of how clusters were formed.
5.		orders of the selected areas clearly identified at the time of canvassing?
6.	Were al	l sampled areas visited by canvassing teams?
	☐ Yes	(Go to Question 7.) \[\sum \no (Respond to Parts a and b.)
	 a.	Was the subset of areas randomly chosen?
		☐ Yes ☐ No
	b.	Describe how the subsample of visited areas was drawn. Include the number of areas sampled and the number of areas canvassed.
_		
7.	_	eld observers provided with a detailed map of the canvassing areas?
	Yes Yes	
	If No, de	escribe the canvassing instructions given to the field observers.
8.	Were fie	eld observers instructed to find all outlets in the assigned area?
		spond to Question 9.
	If Yes, de	escribe any instructions given to the field observers to ensure the entire area was ed, then go to Question 10.
9.	If a full	canvassing was not conducted:
	a.	How many predetermined outlets were to be observed in each area?
	b.	What were the starting points for each area?
	c.	Were these starting points randomly chosen?
		☐ Yes ☐ No
	d.	Describe the selection of the starting points.

e.	Please describe the canvassing instructions given to the field observers including predetermined routes.
Describ	e the process field observers used to determine if an outlet sold tobacco.
Please p	provide the state's definition of "matches" or "mismatches" to the Synar

SSES Table 1 (Synar Survey Estimates and Sample Sizes)

CSAP-SYNAR REPORT

State	Guam
Federal Fiscal Year (FFY)	2018
Date	8/7/2018 17:01
Data	Guam SSES - JB.xlsx
Analysis Option	Stratified SRS with FPC

Estimates

Unweighted Retailer Violation Rate	12.1%
Weighted Retailer Violation Rate	12.1%
Standard Error	0.4%
Is SAMHSA Precision Requirement met?	YES
Right-sided 95% Confidence Interval	[0.0%, 12.8%]
Two-sided 95% Confidence Interval	[11.3%, 12.9%]
Design Effect	1.0
Accuracy Rate (unweighted)	86.2%
Accuracy Rate (weighted)	86.2%
Completion Rate (unweighted)	94.2%

Sample Size for Current Year

Effective Sample Size	377
Target (Minimum) Sample Size	377
Original Sample Size	377
Eligible Sample Size	325
Final Sample Size	306
Overall Sampling Rate	95.0%

SSES Table 2 (Synar Survey Results by Stratum and by OTC/VM)

STATE: Guam FFY: 2018

Samp	5000	Var. Stratum	Outlet Frame Size	Estimated Outlet Population Size	Number of PSU Clusters Created	Number of PSU Clusters in Sample	Outlet Sample Size	Number of Eligible Outlets in Sample	Number of Sample Outlets Inspected	Number of Sample Outlets in Violation	Retailer Violation Rate(%)	Standard Error(%)
						All	Outlets					
	1	1	377	325	N/A	N/A	377	325	306	37	12.1%	
Total	T		377	325			377	325	306	37	12.1%	0.4%
						over the C	ounter Ou	ıtlets				
	1	1	377	325	N/A	N/A	377	325	306	37	12.1%	
Total	ヿ		377	325			377	325	306	37	12.1%	0.4%
						Vendin	g Machine	es				
	1	1	0	0	N/A	N/A	0	0	0	0	0.0%	
Total			0	0			0	0	0	0	0.0%	0.0%

SSES Table 3 (Synar Survey Sample Tally Summary)

STATE: Guam FFY: 2018

Disposition Code	Description	Count	Subtotal
EC	Eligible and inspection complete outlet	306	
Total (Eligible Con	npletes)		306
N1	In operation but closed at time of visit	13	
N2	Unsafe to access	0	
N3	Presence of police	0	
N4	Youth inspector knows salesperson	5	i
N5	Moved to new location but not inspected	1	
N6	Drive thru only/youth inspector has no drivers license	0	
N7	Tobacco out of stock	0	
N8	Run out of time	0	
N9	Other noncompletion	0	
Total (Eligible Non	completes)		19
11	Out of Business	14	
12	Does not sell tobacco products	12	
13	Inaccessible by youth	11	
14	Private club or private residence	0	
15	Temporary closure	6	
16	Can't be located	9	
17	Wholesale only/Carton sale only	0	
18	Vending machine broken	0	
19	Duplicate	0	
110	Other ineligibility	0	
Total (Ineligibles)			52
Grand Total			377

SSES Table 4 (Synar Survey Inspection Results by Youth Inspector Characteristics)

STATE: Guam FFY: 2018

Frequency Distribution

rrequency b				
Gender	Age	Number of	Attempted	Successful
Gender	WRE	Inspectors	Buys	Buys
Male	14	0	0	0
	15	0	0	0
	16	1	16	4
	17	3	134	18
	18	0	0	0
	Subtotal	4	150	22
Female	14	Ó	0	0
	15	2	63	1
	16	2	65	9
	17	1	28	5
	18	0	0	0
	Subtotal	5	156	15
Other		0	0	0
Grand Total		9	306	37

Buy Rate in Percent by Age and Gender

A==		Camada	7-4-1
Age	Male	Female	Total
14	0.0%	0.0%	0.0%
15	0.0%	1.6%	1.6%
16	25.0%	13.8%	16.0%
17	13.4%	17.9%	14.2%
18	0.0%	0.0%	0.0%
Other			0.0%
Total	14.7%	9.6%	12.1%

Type of
Product
(Cigarette
s (1),
Small
Cigars/Cig
arillos (2),
Smokeles
s Tobacco
(3),
ENDS
(4), Other

0 OTC 712 F 0 OTC 712 F	Outlet ID 90		Sampling & Populatior Variance S Popula 1 377 1 377	ior Varianc 1	e S Populat 377	atior Response EC		FOutlet Tyl OTC	p Youth In 712	sp Youth I. F	Violation F Outlet Typ Youth Insp Youth Insp VM Frame (5)	nsp VM Fra 0	ıme (5)) 1	Retail Outl Clerk asl	outi Ci.
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